

# An uncountably categorical theory whose only computably presentable model is saturated

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## **Abstract**

We build an  $\aleph_1$ -categorical but not  $\aleph_0$ -categorical theory whose only computably presentable model is the saturated one. As a tool, we introduce a notion related to limitwise monotonic functions.

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# 1 Introduction

An important theme in computable model theory is the study of computable models of complete first-order theories. More precisely, given a complete first-order theory  $T$ , one would like to know which models of  $T$  have computable copies and which do not. A special case of interest is when  $T$  is an  $\aleph_1$ -categorical theory. In this paper we are interested in computable models of  $\aleph_1$ -categorical theories, and we always assume that these theories are not  $\aleph_0$ -categorical. In addition, since we are interested in computable models, all the structures in this paper are countable.

We assume that all languages we consider are computable. A complete theory  $T$  in a language  $L$  is  $\aleph_1$ -categorical if any two models of  $T$  of power  $\aleph_1$  are isomorphic. We say that a model  $\mathcal{A}$  of  $T$  is *computable* if its domain and its atomic diagram are computable. A model  $\mathcal{A}$  is *computably presentable* if it is isomorphic to a computable model, which is called a *computable presentation* of  $\mathcal{A}$ . The reader is referred to [2] for the basics of computable model theory and to [12] for the basics of computability theory.

In [1], Baldwin and Lachlan developed the theory of  $\aleph_1$ -categoricity in terms of strongly minimal sets. They showed that the countable models of an  $\aleph_1$ -categorical theory  $T$  can be listed in an  $\omega + 1$  chain

$$\mathcal{A}_0 \preceq \mathcal{A}_1 \preceq \cdots \preceq \mathcal{A}_\omega,$$

where the embeddings are elementary,  $\mathcal{A}_0$  is the prime model of  $T$ , and  $\mathcal{A}_\omega$  is the saturated model of  $T$ . Based on the theory developed by Baldwin and Lachlan, Harrington [4] and N. G. Khisamiev [5] proved that if an  $\aleph_1$ -categorical theory  $T$  is decidable then all the countable models of  $T$  have computable presentations. Thus, for decidable  $\aleph_1$ -categorical theories the question of which models of  $T$  have computable presentations is fully settled. However, the situation is far from clear when the theory  $T$  is not decidable. The following definition is given in [9]:

**1.1 Definition.** Let  $T$  be an  $\aleph_1$ -categorical theory and let  $\mathcal{A}_0 \preceq \mathcal{A}_1 \preceq \cdots \preceq \mathcal{A}_\omega$  be the countable models of  $T$ . The *spectrum of computable models* of  $T$  is the set  $\{i : \mathcal{A}_i \text{ has a computable presentation}\}$ .

If  $X \subseteq \omega + 1$  is the spectrum of computable models of some  $\aleph_1$ -categorical theory, then we say that  $X$  is *realized as a spectrum*.

There has been some previous work on the possible spectra of computable models of (undecidable)  $\aleph_1$ -categorical theories. For example, Nies [11] gave an upper

bound of  $\Sigma_3^0(\emptyset^\omega)$  for the complexity of the sets realized as spectra. Interestingly, the following are the only subsets of  $\omega + 1$  known to be realizable as spectra: the empty set,  $\omega + 1$  itself ([4], [5]), the initial segments  $\{0, \dots, n\}$ , where  $n \in \omega$  ([3], [10]), the sets  $(\omega + 1) \setminus \{0\}$  and  $\omega$  ([9]), and the intervals  $\{1, \dots, n\}$ , where  $n \in \omega$  ([11]). Our main result adds  $\{\omega\}$  to this list by showing that there exists an  $\aleph_1$ -categorical theory whose only computably presentable model is the saturated one.

This paper is organized as follows. The next section contains the proof of a computability-theoretic result that will be used in constructing the desired theory. In section 3 we introduce the basic building blocks of the models of this theory, which are called cubes. Finally, the last section contains the proof of our main result.

## 2 A Computability-Theoretic Result

Limitwise monotonic functions were introduced by N. G. Khisamiev [6, 7, 8] and have found a number of applications in computable model theory. In particular, Khoussainov, Nies, and Shore [9] used them to show that  $(\omega + 1) \setminus \{0\}$  is realized as a spectrum. We now introduce a related notion.

Let  $[\omega]^{<\omega}$  denote the collection of all finite sets of natural numbers, and let  $\infty$  be a special symbol. We define the class of  $S$ -limitwise monotonic functions from  $\omega$  to  $[\omega]^{<\omega} \cup \{\infty\}$ , where  $S$  is an infinite set. This class captures the idea of a family  $A_0, A_1, \dots$  of uniformly c.e. sets, each of which is either finite or equal to  $S$  (represented by the symbol  $\infty$ ), such that we can enumerate the set of  $i$  for which  $A_i = S$ .

**2.1 Definition.** Let  $S$  be an infinite set of natural numbers. An  *$S$ -limitwise monotonic function* is a function  $f : \omega \rightarrow [\omega]^{<\omega} \cup \{\infty\}$  for which there is a computable function  $g : \omega \times \omega \rightarrow [\omega]^{<\omega} \cup \{\infty\}$  such that

1.  $f(n) = \lim_s g(n, s)$  for all  $n$ , and
2. for all  $n, s \in \omega$ , the following properties hold:
  - (a) if  $g(n, s + 1) \neq \infty$  then  $g(n, s) \subseteq g(n, s + 1)$ ,
  - (b) if  $g(n, s) = \infty$  then  $g(n, s + 1) = \infty$ , and

(c) if  $g(n, s) \neq \infty$  and  $g(n, s + 1) = \infty$  then  $g(n, s) \subset S$ .

We refer to  $g$  as a *witness* to  $f$  being  $S$ -limitwise monotonic.

Note that if  $f$  is an  $S$ -limitwise monotonic function then its witness  $g$  can be chosen to be primitive recursive.

**2.2 Definition.** A collection of finite sets is  $S$ -monotonically approximable if it is equal to  $\{f(n) : f(n) \neq \infty\}$  for some  $S$ -limitwise monotonic function  $f$ .

The main result of this section is the following computability-theoretic proposition, which shows that there is an infinite set  $S$  and a family of sets that is not  $S$ -monotonically approximable and has certain properties that will allow us to code it into a model of an  $\aleph_1$ -categorical structure.

**2.3 Proposition.** *There exists an infinite c.e. set  $S$  and uniformly c.e. sets  $A_0, A_1, \dots$  with the following properties:*

1. each  $A_i$  is either finite or equal to  $S$ ,
2. if  $x \in S$  then  $x \in A_i$  for almost all  $i$ ,
3. if  $x \notin S$  then  $x \in A_i$  for only finitely many  $i$ ,
4. if  $A_i$  is finite then there is a  $k \in A_i$  such that  $k \notin A_j$  for all  $j \neq i$ , and
5.  $\{A_i : |A_i| < \omega\}$  is not  $S$ -monotonically approximable.

*Proof.* Let  $g_0, g_1, \dots$  be an effective enumeration of all primitive recursive functions from  $\omega \times \omega$  to  $\omega^{<\omega} \cup \{\infty\}$  such that for all  $n, s \in \omega$ , if  $g_e(n, s + 1) \neq \infty$  then  $g(n, s) \subseteq g(n, s + 1)$ , and if  $g(n, s) = \infty$  then  $g(n, s + 1) = \infty$ .

We want to build  $S$  and  $A_0, A_1, \dots$  to satisfy 1–3 and the requirements  $\mathcal{R}_e$  stating that if  $g_e$  is a witness to some function  $f$  being  $S$ -limitwise monotonic, then  $\{A_i : |A_i| < \omega\}$  is not  $S$ -monotonically approximable via  $f$ .

For each  $e$ , we define a procedure for enumerating  $A_e$ . We think of the procedures as alternating their steps, with the  $e$ th procedure taking place at stages of the form  $\langle e, k \rangle$ , which we call  $e$ -stages. All procedures may enumerate elements into  $S$ . The  $e$ th procedure is designed to satisfy  $\mathcal{R}_e$  by ensuring that if  $g_e$  is a witness to some function  $f$  being  $S$ -limitwise monotonic and every  $f(n) \neq \infty$  is

equal to some  $A_i$ , then  $A_e$  is finite and not equal to  $f(n)$  for any  $n$ . The  $e$ th procedure works as follows.

Let  $A_e[s]$  and  $S[s]$  denote the set of all numbers enumerated into  $A_e$  and  $S$ , respectively, by the end of stage  $s$ .

The main idea is to find an appropriate number  $n_e$  such that if  $\lim_s g_e(n, s) = A_e$  for some  $n$  then  $n = n_e$ , and let  $A_e[s]$  always contain an element not in  $g_e(n_e, s)$ , thus ensuring that either  $A_e$  is finite but  $\lim_s g_e(n_e, s) \neq A_e$  or  $g_e(n_e, s)$  is eternally playing catch-up, and hence does not come to a limit.

At the first  $e$ -stage  $s$ , put  $\langle e, 0 \rangle$ ,  $\langle e, 1 \rangle$ , and all elements of  $S[s]$  into  $A_e$ . Let  $m_{e,s} = 1$  and let  $n_e$  be undefined. (For each  $e$ -stage  $t$ , we will let  $m_{e,t}$  be the largest  $m$  such that  $\langle e, m \rangle \in A_e[t]$ .)

At any other  $e$ -stage  $s$ , proceed as follows. Let  $t$  be the previous  $e$ -stage. If  $n_e$  is undefined and there is an  $n \leq s$  such that  $g_e(n, s) = A_e[t]$ , then let  $n_e = n$ . If  $n_e$  is now defined and  $g_e(n_e, s) = A_e[t]$  then put  $\langle e, m_{e,t} - 1 \rangle$  into  $S$ , put  $\langle e, m_{e,t} + 1 \rangle$  and all elements of  $S[s]$  into  $A_e$ , and let  $m_{e,s} = m_{e,t} + 1$ . Otherwise, let  $m_{e,s} = m_{e,t}$  and do nothing else.

This finishes the description of the  $e$ th procedure. Running all procedures concurrently, as described above, we build a uniformly c.e. collection of sets  $A_0, A_1, \dots$  and a c.e. set  $S$ . Now our goal is to show that these sets satisfy the properties in the statement of the proposition.

Since at every stage  $s$  at which we put numbers into  $A_e$ , we put  $S[s]$  into  $A_e$  and the second largest element of  $A_e[s - 1]$  into  $S$ , every infinite  $A_e$  is equal to  $S$ . This shows that the first property in the proposition holds.

Since for each  $e$  we put  $S[s]$  into  $A_e$ , where  $s$  is the first  $e$ -stage, every element of  $S$  is in cofinitely many  $A_e$ . This shows that the second property in the proposition holds.

Since the only way a number of the form  $\langle e, k \rangle$  can enter  $A_i$  for  $i \neq e$  is if it first enters  $S$ , every number that is in infinitely many  $A_i$  must be in  $S$ . This shows that the third property in the proposition holds.

If  $A_e$  is finite, then  $m = \lim_s m_{e,s}$  exists, and  $\langle e, m \rangle$  is in  $A_e$  but not in  $A_j$  for  $j \neq e$ . This shows that the fourth property in the proposition holds.

We now show that the last property in the proposition holds. Assume for a contradiction that  $\{A_i : |A_i| < \omega\} = \{f(n) : f(n) \neq \infty\}$  for some  $S$ -limitwise monotonic function  $f$  witnessed by  $g_e$ . Then  $n_e$  must eventually be defined, since

otherwise  $A_e$  is finite but not in the range of  $f$ .

First suppose that  $f(n_e) \neq \infty$ . At the  $e$ -stage  $s_0$  at which  $n_e$  is defined,  $g_e(n_e, s_0)$  contains  $\langle e, 0 \rangle$  and  $\langle e, 1 \rangle$ . If there is no  $e$ -stage  $s_1 > s_0$  at which  $g_e(n_e, s_1) = A_e[s_0]$ , then  $f(n_e)$  cannot equal any of the  $A_i$ , since  $A_e$  is then the only one of our sets that contains  $\langle e, 1 \rangle$ , and  $\langle e, 1 \rangle \in g_e(n_e, s_0)$ . So there must be such an  $e$ -stage  $s_1$ . Note that  $g_e(n_e, s_1)$  contains  $\langle e, 2 \rangle$ . By the same argument, there must be an  $e$ -stage  $s_2 > s_1$  such that  $g_e(n_e, s_2) = A_e[s_1]$ , and this set contains  $\langle e, 3 \rangle$ . Proceeding in this way, we see that  $g_e(n_e, s)$  never reaches a limit.

Now suppose that  $f(n_e) = \infty$ . Let  $s_0$  be the least  $s$  such that  $g_e(n_e, s) = \infty$ , and let  $t$  be the largest  $e$ -stage less than  $s_0$ . It is easy to check that  $\langle e, m_{e,t} - 1 \rangle \in g_e(n_e, t)$  but  $\langle e, m_{e,t} - 1 \rangle \notin S[t]$ . We never put  $\langle e, m_{e,t} - 1 \rangle$  into  $S$  after stage  $t$ , so in fact  $\langle e, m_{e,t} - 1 \rangle \notin S$ . Since  $g_e(n_e, t) \subseteq g_e(n_e, s_0 - 1)$ , we have  $g_e(n_e, s_0 - 1) \not\subseteq S$ , contradicting the choice of  $g_e$ .  $\square$

### 3 Cubes

In this section we introduce a special family of structures, which we call cubes. These will be used in the next section to build an  $\aleph_1$ -categorical theory. They generalize the  $n$ -cubes and  $\omega$ -cubes used in [9].

We work in the language  $\mathcal{L} = \{P_i : i \in \omega\}$ , where each  $P_i$  is a binary predicate symbol. We will define structures for sublanguages  $\mathcal{L}'$  of  $\mathcal{L}$ . Any such structure can be thought of as an  $\mathcal{L}$ -structure by interpreting the  $P_i$  not contained in  $\mathcal{L}'$  by the empty set. We denote the domain of a structure denoted by a calligraphic letter such as  $\mathcal{A}$  by the corresponding roman letter  $A$ .

We begin with the following inductive definition of the finite cubes.

**3.1 Definition.** *Base case.* For  $n \in \omega$ , an  $(n)$ -cube is a structure  $\mathcal{A} = (\{a, b\}; P_n^{\mathcal{A}})$ , where  $P_n^{\mathcal{A}}(x, y)$  holds if and only if  $x \neq y$ .

*Inductive Step.* Now suppose we have defined  $\sigma$ -cubes for a non-repeating sequence  $\sigma = (n_1, \dots, n_k)$ , and let  $n_{k+1} \notin \sigma$ . An  $(n_1, \dots, n_k, n_{k+1})$ -cube is a structure  $\mathcal{C}$  defined in the following way. Take two  $\sigma$ -cubes  $\mathcal{A}$  and  $\mathcal{B}$  such that  $A \cap B = \emptyset$  and let  $f : \mathcal{A} \rightarrow \mathcal{B}$  be an isomorphism. Let  $\mathcal{C}$  be the structure

$$(A \cup B; P_{n_1}^{\mathcal{A}} \cup P_{n_1}^{\mathcal{B}}, \dots, P_{n_k}^{\mathcal{A}} \cup P_{n_k}^{\mathcal{B}}, P_{n_{k+1}}^{\mathcal{C}}),$$

where  $P_{n_{k+1}}^{\mathcal{C}}(x, y)$  holds if and only if  $f(x) = y$  or  $f^{-1}(x) = y$ .

**3.2 Example.** Let  $\sigma$  be a finite non-repeating sequence. Consider  $A = \mathbb{Z}_2^{|\sigma|}$  as a vector space over  $\mathbb{Z}_2$ , with basis  $b_1, \dots, b_{|\sigma|}$ . If we define the structure  $\mathcal{A}$  with domain  $A$  by letting  $P_{\sigma(i)}^{\mathcal{A}}(x, y)$  iff  $x + b_i = y$ , then  $\mathcal{A}$  is a  $\sigma$ -cube.

The following property of finite cubes, which is easily checked by induction, shows that we could have taken Example 3.2 as the definition of a  $\sigma$ -cube.

**3.3 Lemma.** *Let  $\sigma$  be a finite non-repeating sequence. Any two  $\sigma$ -cubes are isomorphic.*

Furthermore, we have the following stronger property.

**3.4 Lemma.** *If  $\sigma$  is a finite non-repeating sequence and  $\tau$  is a permutation of  $\sigma$ , then every  $\tau$ -cube is isomorphic to every  $\sigma$ -cube.*

*Proof.* Let  $\mathcal{A}$  and  $\mathcal{B}$  be a  $\sigma$ -cube and a  $\tau$ -cube respectively. By Lemma 3.3, we can assume that  $\mathcal{A}$  and  $\mathcal{B}$  are constructed as in Example 3.2. Since  $\tau$  is a permutation of  $\sigma$ , there is a bijection  $f$  such that  $\sigma(i) = \tau(f(i))$ . Let  $\varphi$  be the vector space isomorphism induced by taking  $b_i$  to  $b_{f(i)}$ . We then have

$$\begin{aligned} P_{\sigma(i)}^{\mathcal{A}}(x, y) \text{ iff } x + b_i = y &\text{ iff } \varphi(x) + \varphi(b_i) = \varphi(y) \\ &\text{ iff } \varphi(x) + b_{f(i)} = \varphi(y) \text{ iff } P_{\tau(f(i))}^{\mathcal{B}}(\varphi(x), \varphi(y)) \text{ iff } P_{\sigma(i)}^{\mathcal{B}}(\varphi(x), \varphi(y)). \end{aligned}$$

Thus  $\varphi$  is an isomorphism from  $\mathcal{A}$  to  $\mathcal{B}$ . □

So instead of “ $\sigma$ -cube”, where  $\sigma = (n_1, \dots, n_k)$ , we will write “ $A$ -cube”, where  $A = \{n_1, \dots, n_k\}$ . (This notation matches that of [9], if we make the usual set-theoretic identification of  $n$  with  $\{0, \dots, n - 1\}$ .)

We now define infinite cubes.

**3.5 Definition.** Let  $\alpha = (n_0, n_1, \dots)$  be an infinite non-repeating sequence of natural numbers. An  $\alpha$ -cube is a structure of the form  $\bigcup_{i \in \omega} \mathcal{A}_i$ , where each  $\mathcal{A}_i$  is an  $\{n_0, \dots, n_i\}$ -cube, and  $\mathcal{A}_i \subset \mathcal{A}_{i+1}$ .

As with finite sequences, the order of an infinite sequence  $\alpha$  does not affect the isomorphism type of  $\alpha$ -cubes, so we can talk about  $S$ -cubes, where  $S$  is an infinite set. To show that this is the case, we will use the following fact, which is easy to check. Suppose that  $A \subset B \subset C$  are finite,  $\mathcal{Z}$  is a  $C$ -cube, and  $\mathcal{X} \subset \mathcal{Z}$  is an  $A$ -cube. Then there exists a  $B$ -cube  $\mathcal{Y}$  such that  $\mathcal{X} \subset \mathcal{Y} \subset \mathcal{Z}$ .

**3.6 Lemma.** *If  $\sigma$  is an infinite non-repeating sequence and  $\tau$  is a permutation of  $\sigma$ , then every  $\tau$ -cube is isomorphic to every  $\sigma$ -cube.*

*Proof.* Let  $\sigma = (m_0, m_1, \dots)$  be an infinite non-repeating sequence, and let  $\tau = (n_0, n_1, \dots)$  be a permutation of  $\sigma$ . Let  $s_i = \{m_0, \dots, m_i\}$  and  $t_i = \{n_0, \dots, n_i\}$ .

Let  $\mathcal{A}$  be a  $\sigma$ -cube and let  $\mathcal{B}$  be a  $\tau$ -cube. Then  $\mathcal{A} = \bigcup_{i \in \omega} \mathcal{A}_i$ , where each  $\mathcal{A}_i$  is an  $s_i$ -cube, and  $\mathcal{A}_i \subset \mathcal{A}_{i+1}$ . Similarly,  $\mathcal{B} = \bigcup_{i \in \omega} \mathcal{B}_i$ , where each  $\mathcal{B}_i$  is a  $t_i$ -cube, and  $\mathcal{B}_i \subset \mathcal{B}_{i+1}$ .

We build a sequence of finite partial isomorphisms  $\varphi_0 \subseteq \varphi_1 \subseteq \dots$  such that  $A_i \subseteq \text{dom } \varphi_{2i+1}$  and  $B_i \subseteq \text{rng } \varphi_{2i+2}$ . We begin with  $\varphi_0 = \emptyset$ .

Given  $\varphi_{2i}$ , let  $k \geq i$  be such that  $A_k \supseteq \text{dom } \varphi_{2i}$ , and let  $l$  be such that  $B_l \supseteq \text{rng } \varphi_{2i}$  and  $s_k \subseteq t_l$ . Then there is an  $s_k$ -cube  $\mathcal{C} \subseteq \mathcal{B}_l$  such that  $\text{rng } \varphi_{2i} \subseteq \mathcal{C}$ . Extend  $\varphi_{2i}$  to an isomorphism  $\varphi_{2i+1} : \mathcal{A}_k \rightarrow \mathcal{C}$ .

Given  $\varphi_{2i+1}$ , proceed in an analogous fashion to define a finite partial isomorphism  $\varphi_{2i+2}$  including  $B_i$  in its range.

Now  $\varphi = \bigcup_{i \in \omega} \varphi_i$  is an isomorphism from  $\mathcal{A}$  to  $\mathcal{B}$ . □

## 4 The Main Theorem

In this section we prove the main result of this paper.

**4.1 Theorem.** *There exists an  $\aleph_1$ -categorical but not  $\aleph_0$ -categorical theory whose only computably presentable model is the saturated one.*

*Proof.* Let  $\{A_i\}_{i \in \omega}$  and  $S$  be as in Proposition 2.3. Fix an enumeration of  $\{A_i\}_{i \in \omega}$  such that at each stage exactly one element is enumerated into some  $A_i$ . (For instance, we can take the enumeration given in the proof of Proposition 2.3.) Construct a computable model  $\mathcal{M}_\omega = \bigcup_{n \in \omega} \mathcal{M}_\omega^n$  as follows. Begin with  $\mathcal{M}_\omega^n[0] = \emptyset$  for all  $n$ . At stage  $s+1$ , if  $A_n[s+1] \neq A_n[s]$  then extend  $\mathcal{M}_\omega^n[s]$  to an  $A_n[s+1]$ -cube using fresh large numbers.

It is clear that this procedure can be carried out effectively, so that  $\mathcal{M}_\omega$  is computable. Furthermore,  $\mathcal{M}_\omega$  is the disjoint union of one  $A_n$ -cube for each  $n \in \omega$ . In particular, every infinite cube in  $\mathcal{M}_\omega$  is an  $S$ -cube.

Now let  $T = \text{Th}(\mathcal{M}_\omega)$  be the first-order theory of  $\mathcal{M}_\omega$ . We show that  $T$  is  $\aleph_1$ -categorical but not  $\aleph_0$ -categorical,  $\mathcal{M}_\omega$  is saturated, and the only computably presentable model of  $T$  (up to isomorphism) is  $\mathcal{M}_\omega$ .



We begin by showing that that  $T$  is  $\aleph_1$ -categorical. Since  $T$  includes sentences saying that for each  $n$  and  $x$  there is at most one  $y$  such that  $P_n(x, y)$ , we are free to use functional notation and write  $P_n(x) = y$  instead of  $P_n(x, y)$ . For  $n \in S$ , let  $k(n)$  be the number of elements  $x \in M_\omega$  for which  $P_n^{\mathcal{M}_\omega}(x)$  is not defined. For  $n \notin S$ , let  $k(n)$  be the number of elements  $x \in M_\omega$  for which  $P_n^{\mathcal{M}_\omega}(x)$  is defined. Note that  $k(n)$  is finite for all  $n$ .

It is easy to see that  $\mathcal{M}_\omega$  satisfies the following list of statements, which can be written as an infinite set  $\Sigma \subset T$  of first-order sentences:

1. For each  $n$ , the relation  $P_n$  is a partial one-to-one function and  $P_n(x) = y \rightarrow P_n(y) = x$ .
2. For all  $n \neq m$  and all  $x$ , we have  $P_n(x) \neq P_m(x)$  and  $P_n(x) \neq x$ .
3. For all  $n \neq m$  and all  $x$ , if  $P_n(x)$  and  $P_m P_n(x)$  are defined, then  $P_m(x)$  and  $P_n P_m(x)$  are defined, and  $P_n P_m(x) = P_m P_n(x)$ .
4. For all  $k$ , all  $n > n_1 \geq n_2 \geq \dots \geq n_k$ , and all  $x$ , we have  $P_{n_1} \dots P_{n_k}(x) \neq P_n(x)$ .
5. For each  $n \in S$  there are exactly  $k(n)$  many elements  $x$  for which  $P_n(x)$  is not defined.
6. For each  $n \notin S$  there are exactly  $k(n)$  many elements  $x$  for which  $P_n(x)$  is defined.
7. Let  $A_i$  be finite, and let  $m \in A_i$  be such that  $m \notin A_j$  for all  $j \neq i$ . Then there exists a finite  $A_i$ -cube  $\mathcal{C}_i$  such that  $\forall x (P_m(x) \text{ is defined} \rightarrow x \in \mathcal{C}_i)$ . (Note that  $m \notin S$  and  $\mathcal{C}_i$  has  $k(m)$  many elements, so together with Statements 3 and 6, this statement implies that  $\mathcal{C}_i$  is not contained in a larger cube.)

**4.2 Remark.** Note that Statements 1 and 3 imply the following statement: for all  $n \neq m$  and all  $u$ , if  $P_n(u)$  and  $P_m(u)$  are defined then  $P_m P_n(u)$  and  $P_n P_m(u)$  are defined and equal. To prove this let  $v = P_n(u)$ , which, by Statement 1, implies that  $P_n(v) = u$ . Since  $P_m P_n(v) = P_m(u)$  is defined, applying Statement 3 with  $x = v$ , we have that  $P_m(v)$  and  $P_n P_m(v)$  are defined, and  $P_n P_m(v) = P_m P_n(v)$ . If we let  $w = P_m(v)$  then  $P_m P_n(u) = w$ . Since  $P_n(w) = P_n P_m(v) = P_m P_n(v) = P_m(u)$ , Statement 1 implies that  $P_n P_m(u) = P_n P_n(w) = w$ . Thus  $P_m P_n(u) = P_n P_m(u)$ .

Now suppose that  $\mathcal{M}$  is a model of  $\Sigma$ . Let  $A \subseteq \omega$  and  $x \in M$ . Using the statements above, it is easy to check that  $\forall n \in A$  ( $P_n^{\mathcal{M}}(x)$  is defined) if and only if  $x$  belongs to an  $A$ -cube. It is also clear that if  $\mathcal{C}_1$  and  $\mathcal{C}_2$  are  $A$ -cubes in  $\mathcal{M}$  and  $\mathcal{C}_1 \cap \mathcal{C}_2 \neq \emptyset$ , then  $\mathcal{C}_1 = \mathcal{C}_2$ .

It now follows that  $\mathcal{M}$  is the disjoint union of components  $\mathcal{M}_0$  and  $\mathcal{M}_1$ , where  $\mathcal{M}_0$  is the disjoint union of exactly one  $A_i$ -cube for each finite  $A_i$ . Let  $x \in M_1$ . If  $n \in S$  then there are  $k(n)$  elements in  $M_0$  on which  $P_n^{\mathcal{M}}$  is not defined. Statement 5 says that there are exactly  $k(n)$  such elements in  $M$ . Hence  $P_n^{\mathcal{M}}(x)$  is defined. Similarly, Statement 6 implies that if  $n \notin S$  then  $P_n^{\mathcal{M}}(x)$  is not defined. Therefore,  $x$  belongs to an  $S$ -cube. Thus,  $\mathcal{M}_1$  is a disjoint union of  $S$ -cubes.

Let  $\mathfrak{C}$  be the class of all structures that are the disjoint union of exactly one  $A_i$ -cube for each finite  $A_i$  and some finite or infinite number of  $S$ -cubes. Clearly, any structure in  $\mathfrak{C}$  is a model of  $\Sigma$ , and we have shown that any model of  $\Sigma$  is in  $\mathfrak{C}$ . Let  $\mathfrak{M}$  be a model of  $\Sigma$ . Each of the  $S$ -cubes in  $\mathcal{M}$  is countable, so if  $|M| = \aleph_1$ , then there must be  $\aleph_1$  many such  $S$ -cubes. Therefore, any two models of  $\Sigma$  of size  $\aleph_1$  are isomorphic, and hence  $\Sigma$  is uncountably categorical. It now follows by the Łoś-Vaught Test that any model of  $\Sigma$  is a model of  $T$ . Thus  $T$  is uncountably categorical and, since  $\mathfrak{C}$  contains infinitely many nonisomorphic countable structures,  $T$  is not countably categorical.

**4.3 Lemma.** *Let  $\mathcal{M}$  be a computable model of  $T$ . Then  $\mathcal{M}$  contains infinitely many  $S$ -cubes.*

*Proof.* Assume for a contradiction that  $\mathcal{M}$  contains a finite number  $r$  of  $S$ -cubes (which may be 0). We can assume without loss of generality that the domain of  $\mathcal{M}$  is  $\omega$ . Let  $\mathcal{M}_s$  be the structure obtained by restricting the domain of  $\mathcal{M}$  to  $\{0, \dots, s\}$  and the language to  $P_0, \dots, P_s$ . Choose one element from each  $S$ -cube, say  $c_1, \dots, c_r$ . Define a computable function  $g : \omega \times \omega \rightarrow [\omega]^{<\omega} \cup \{\infty\}$  as follows.

If  $x > s$  then  $g(x, s) = \emptyset$ . If  $x$  is connected to some  $c_i$  in  $\mathcal{M}_s$  then  $g(x, s) = \infty$ . Otherwise,  $g(x, s)$  is the set of all  $k \leq s$  for which there is a  $y \leq s$  such that  $P_k^{\mathcal{M}}(x, y)$ .

Clearly,  $g(x, s)$  is computable. Also, if  $x$  belongs to some  $A_i$ -cube in  $\mathcal{M}$  then  $g(x, s) \subseteq A_i$ , and if  $g(x, s) = \infty$  then  $x$  must belong to an  $S$ -cube. It is now easy to check that  $f(x) = \lim_s g(x, s)$  is  $S$ -limitwise monotonic and  $\{f(x) : f(x) \neq \infty\} = \{A_i : |A_i| < \omega\}$ . But this contradicts the fact that  $\{A_i : |A_i| < \omega\}$  is not  $S$ -monotonically approximable.  $\square$

Since  $\mathcal{M}_\omega$  is computable, it contains infinitely many  $S$ -cubes, and therefore is saturated. Other countable models of  $T$  have only finitely many  $S$ -cubes, and hence do not have computable presentations.  $\square$

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